



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 3547

|   |   |                                |   |   |
|---|---|--------------------------------|---|---|
| <b>SERIAL NUMBER</b><br>10/623,434  | <b>FILING OR 371(c) DATE</b><br>07/17/2003<br><b>RULE</b>   | <b>CLASS</b><br>705            | <b>GROUP ART UNIT</b><br>3691   | <b>ATTORNEY DOCKET NO.</b><br>74577-060 |
| <b>APPLICANTS</b><br>Yuli Zhou, Darien, CT;<br>Nickolai Ogurtsov, Stamford, CT;   |   |                                |   |   |
| <b>** CONTINUING DATA *****</b><br>This appln claims benefit of 60/396,682 07/17/2002   |   |                                |   |   |
| <b>** FOREIGN APPLICATIONS *****</b>  |   |                                |   |   |
| <b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b><br><b>** 10/21/2003</b>  |   |                                |   |   |
| Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no<br>35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after met<br>Verified and Acknowledged <input checked="" type="checkbox"/> Allowance |   | <b>STATE OR COUNTRY</b><br>CT  | <b>SHEETS DRAWING</b><br>1  | <b>TOTAL CLAIMS</b><br>21               |
| Examiner's Signature <i>[Signature]</i> Initials  |   | <b>INDEPENDENT CLAIMS</b><br>4 |   |   |
| <b>ADDRESS</b><br>21890   |   |                                |   |   |
| <b>TITLE</b><br>System and method for automated trading   |   |                                |   |   |
| <b>FILING FEE RECEIVED</b><br>852   | FEES: Authority has been given in Paper<br>No. _____ to charge/credit DEPOSIT ACCOUNT<br>No. _____ for following: |                                | <input type="checkbox"/> All Fees<br><input type="checkbox"/> 1.16 Fees ( Filing )<br><input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )<br><input type="checkbox"/> 1.18 Fees ( Issue )<br><input type="checkbox"/> Other _____<br><input type="checkbox"/> Credit |   |